



November 18, 2020

Brandon Stanik
South Strabane Township
550 Washington Road
Washington, PA 15301-9622

Re: PA Act 44 Annual Municipal Notification of Political Contributions by
Covered Persons of AmeriServ Financial, et al.

Dear Mr. Stanik,

In accordance with the above-referenced Act (i.e., House Bill 1828), as a service provider to your municipal pension, we are hereby providing our annual notification to you of the political contributions made by identified Covered Persons of AmeriServ to various municipal officials within the state of Pennsylvania. Covered Persons, as defined by the Act, include all Board Members, Executive Officers, Trust Company managers, our WCCA President and CEO, their spouses, minor children and agents engaged in providing municipal pension services.

The political contributions span the five (5) year period ending 6/30/16 and are listed in their entirety for all Covered Persons and agents of AmeriServ Financial and its affiliates (i.e., AmeriServ Trust and Financial Services Company and West Chester Capital Advisors (WCCA)). Accordingly, we have provided an all-inclusive summary of political contributions made to all municipal officers/personnel or candidates for municipal office in the state. **This list may or may not include municipal personnel or candidates for offices for your specific municipality, but in an effort to fully comply with the purpose and spirit of the Act, we are hereby disclosing all such contributions made state-wide.** In addition, I have provided the Act 44 Disclosure Form for your municipality.

As indicated, it is our intention to provide this information to you, annually. Should you feel that you are not the appropriate person to receive this information, would you please provide me with the name, title, address and telephone number of the person you believe should receive it, and we will re-direct our Notification to that person, now and in the future.

Thank you for your kind attention, and should you have any questions, please do not hesitate to call me at (814) 533-5319, at any time.

Sincerely,



James T. Huerth
President & CEO

JTH/skb
Enclosure

**AmeriServ Financial, Inc.
and its affiliates:
AmeriServ Trust and Financial Services Company
West Chester Capital Advisors**

**ACT 44 DISCLOSURE FORM FOR ENTITIES PROVIDING
PROFESSIONAL SERVICES TO
PENNSYLVANIA MUNICIPALITY PENSION SYSTEMS**

**REQUESTING MUNICIPALITY:
SOUTH STRABANE TOWNSHIP**

DEFINITIONS FOR DISCLOSURE

TERM:	DEFINITION:
CONTRACTOR	Any person, company, or other entity that receives payments, fees, or any other form of compensation from a municipal pension fund in exchange for rendering professional services for the benefit of the municipal pension fund.
SUBCONTRACTOR OR ADVISOR	Anyone who is paid a fee or receives compensation from a municipal pension system – directly or indirectly from or through a contractor
AFFILIATED ENTITY	Any of the following: <ol style="list-style-type: none"> 1. A subsidiary or holding company of a lobby firm or other business entity owned in whole or in part by a lobbying firm. 2. An organization recognized by the Internal Revenue Service as a tax-exempt organization under section 501(c) of the Internal Revenue Code of 1986 (Public Law 99-514, 26 U.S.C. § 501(c)) established by a lobbyist or lobbying firm or an affiliated entity.
CONTRIBUTIONS	As defined in section 1621 of the act of June 3 rd , 1937 (P.L. 1333, No. 320), known as the Pennsylvania Election Code
POLITICAL COMMITTEE	As defined in section 1621 of the act of June 3 rd , 1937 (P.L. 1333, No. 320), known as the Pennsylvania Election Code
EXECUTIVE LEVEL EMPLOYEE	Any employee or person or the person's affiliated entity who: <ol style="list-style-type: none"> 1. Can affect or influence the outcome of the person's or affiliated entity's actions, policies, or decisions relating to pensions and the conduct of business with a municipality or a municipal pension system; or 2. Is directly involved in the implementation or development policies relating to pensions, investments, contracts or procurement or the conduct of business with a municipality or municipal pension system.
MUNICIPAL PENSION SYSTEM	Any qualifying pension plan, under Pennsylvania state law, for any municipality within the Commonwealth of Pennsylvania; includes the Pennsylvania Municipal Retirement System.
PROFESSIONAL SERVICES CONTRACT	A contract to which the municipal pension system is a party that is: (1) for the purchase of professional services including investment services, legal services, real estate services, and other consulting services; and (2) not subject to a requirement that the lowest bid be accepted.

IDENTIFICATION OF CONTRACTORS & RELATED PERSONNEL

CONTRACTORS: (See “Definitions” – page 2) Any entity who currently provides service(s) by means of a Professional Services Contract to the Municipal Pension System of the Requesting Municipality, please complete all of the following:

Identify the Municipal Pension plan(s) for which you are providing information:

South Strabane Township Police

1. Please provide the names and titles of all individuals providing professional services to the Requesting Municipality’s pension plan(s) identified above. Also include the names and titles of any advisors and subcontractors (see 2. below) of the Contractor, identifying them as such. After each name provide a description of the responsibilities of that person with regard to the professional services being provided to each designated pension plan.

AmeriServ Financial, Inc. (Banking Services)

AmeriServ Trust and Financial Services Company (Trustee, Investment Management/Retirement/Custodial Services)

West Chester Capital Advisors (Investment Management Services)

2. Please list the name and title of any *Affiliated Entity* (see 1. above) and their *Executive-level Employee(s)* that require disclosure; after each name, include a brief description of their duties. (See: Definitions)

The following are contractual agents of AmeriServ Trust and Financial Services Company:

Pen Trust (Real Estate Investment Advisor)

William Ligetti (Union Pension Liaison)

3. Are any of the individuals named in Item 1 or Item 2 above, a current or former official or employee of the Requesting Municipality? IF “YES”, provide the name and of the person employed, their position with the municipality, and dates of employment.

No

4. Are any of the individuals named in Item 1 or Item 2 above a current or former registered Federal or State lobbyist? IF “YES”, provide the name of the individual, specify whether they are a state or federal lobbyist, and the date of their most recent registration /renewal.

No

NOTICE: All information provided for items 1-4 above must be updated as changes occur.

5. Since December 17th 2009, has the Contractor or an *Affiliated Entity* paid compensation to or employed any third party intermediary, agent, or lobbyist that is to directly or indirectly communicate with an official or employee of the *Municipal Pension System* of the Requesting Municipality (OR), any municipal official or employee of the Requesting Municipality in connection with any transaction or investment involving the *Contractor* and the Municipal Pension System of the Requesting Municipality?

This question does not apply to an officer or employee of the *Contractor* who is acting within the scope of the firm's standard professional duties on behalf of the firm, including the actual provision of legal, accounting, engineering, real estate, or other professional advice, services, or assistance pursuant to the professional services contract with municipality's pension system. IF "YES", identify: (1) whom (the third party intermediary, agent, or lobbyist) was paid the compensation or employed by the Contractor or *Affiliated Entity*, (2) their specific duties to directly or indirectly communicate with an official or employee of the *Municipal Pension System* of the Requesting Municipality (OR), any municipal official or employee of the Requesting Municipality, (3) the official they communicated with, and (4) the dates of this service.

No

6. Since December 17th 2009, has the *Contractor*, or any agent, officer, director or employee of the *Contractor* solicited a contribution to any municipal officer or candidate for municipal office in the Requesting Municipality, or to the political party or political action committee of that official or candidate?

IF "YES", identify the agent, officer, director or employee who made the solicitation and the municipal officials, candidates, political party or political committee who were solicited (to whom the solicitation was made).

Please refer to the attached list of contributions.

7. Since December 17th, 2009: Has the *Contractor* or an *Affiliated Entity* made any contributions to a municipal official or any candidate for municipal office in the Requesting Municipality? IF "YES", provide the name and address of the person(s) making the contribution, the contributor's relationship to the Contractor, The name and office or position of the person receiving the contribution , the date of the contribution, and the amount of the contribution.

Please refer to the attached list of contributions.

8. Does the *Contractor* or an *Affiliated Entity* have any direct financial, commercial or business relationship with any official of the Requesting Municipality?

IF "YES", identify the individual with whom the relationship exists and give a detailed description of that relationship. A written letter is required from the Requesting Municipality acknowledging the relationship and consenting to its existence. The letter

must be attached to this disclosure. Contact the Requesting Municipality to obtain this letter and attach it to this disclosure before submission.

No

9. Has the *Contractor* or an *Affiliated Entity* given any gifts having more than a nominal value to any official, employee or fiduciary of the Requesting Municipality?

IF “YES”, provide the name of the person conferring the gift, the person receiving the gift, the office or position of the person receiving the gift, specify what the gift was, and the date conferred.

Please refer to the attached list of contributions.

10. Disclosure of contributions to any political entity in the Commonwealth of Pennsylvania
Applicability: A “yes” response is required and full disclosure is required ONLY WHEN ALL of the following applies:
- a) The contribution was made within the last 5 years
 - b) The contribution was made by an officer, director, executive-level employee or owner of at least 5% of the *Contractor* or *Affiliated Entity*.
 - c) The amount of the contribution was at least \$500 and in the form of:
 1. A single contribution by a person in (b.) above, OR
 2. The aggregate of all contributions all persons in (b.) above;
 - d) The contribution was for
 1. Any candidate for any public office or any person who holds an office in the Commonwealth of Pennsylvania;
 2. The political committee of a candidate for public office or any person that holds an office in the Commonwealth of Pennsylvania.

IF “YES”, provide the name and address of the person(s) making the contribution, the contributor’s relationship to the *Contractor*, the name and office or position of the person receiving the contribution (or the political entity / party receiving the contribution), the date of the contribution, and the amount of the contribution.

Please refer to the attached list of contributions.

11. With respect to your provision of professional services to the Municipal Pension System of the Requesting Municipality:
Are you aware of any apparent, potential or actual conflicts of interest with respect to any officer, director or employee of the *Contractor* and officials or employees of the Requesting Municipality?

NOTE: If, in the future, you become aware of any apparent, potential, or actual conflict of interest, you are expected to update this Disclosure Form immediately in writing by:

- Providing a brief synopsis of the conflict of interest (and);
- An explanation of the steps taken to address this apparent, potential, or actual conflict of interest.

IF "YES", Provide a detailed explanation of the circumstances which provide you with a basis to conclude that an apparent, potential, or actual conflict of interest may exist.

No

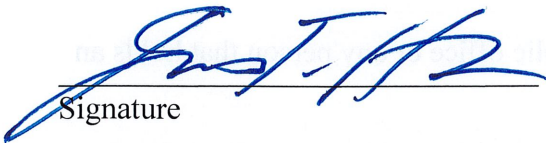
12. To the extent that you believe that Chapter 7-A of Act 44 of 2009 requires you to disclose any additional information beyond what has been requested above, please provide that information below or on a separate piece of paper.

We do not believe that there is any information regarding contributions that has not been disclosed.

Please provide the name(s) and position(s) of the person(s) participating in the completion of this Disclosure. **One of the individuals** identified by the **Contractor** in **Item #1** above must participate in completing this Disclosure and must sign the below verification attesting to the participation of those individuals named below.

Name: James T. Huerth

Position: President and Chief Executive Officer
AmeriServ Trust and Financial Services Company



Signature

President and Chief Executive Officer
Title

November 18, 2020
Date

VERIFICATION

I, James T. Huerth, hereby state that I am the President & CEO for AmeriServ Trust and Financial Services Company (Contractor) and I am authorized to make this verification.

I hereby verify that the facts set forth in the foregoing Act 44 Disclosure Form for Entities Providing Professional Services to the South Strabane Township Police Pension System are true and correct to the best of my knowledge, information and belief. I also understand that knowingly making material misstatements or omissions in this form could subject the responding Contractor to the penalties in Section 705-A(e) of Act 44.

I understand that false statements herein are made subject to the penalties of 18 P.A.C.S. § 4904 relating to unsworn falsification to authorities.


Signature

November 18, 2020
Date

**PA ACT 44
CONTRIBUTIONS**

					11/6/20	
	Candidate/Office Holder	Office	Municipality	Date of Contribution		Amount
DIRECTORS:						
Director 1	Jim Brewster	Senate	Pennsylvania	2016		\$1,000
	Steve Zappala	Attorney General	Pennsylvania	2016		\$2,000
Director 2	Karen Esaias	County Commissioner	Cambria County	2019		\$50
(Director 2's Spouse)						
Director 3	Mayor Janakovic & City Council Members	Johnstown	Cambria County	2016		\$25
Director 4	Tom Chernisky	Commissioner	Cambria County	2019		\$24
	Tom Wolf	Governor	Pennsylvania	2018		\$53
	Kelly Callihan	District Attorney	Cambria County	2017		\$100
	Susan Gindlesperger	Magisterial District Judge	Cambria County	2017		\$100
	Susan Gindlesperger	Magisterial District Judge	Cambria County	2017		\$150
	Mayor Janakovic & City Council Members	Johnstown	Cambria County	2017		\$224
	Chernisky/Smith	Commissioner	Cambria County	2020		\$250
	Frank Burns	State Representative	72nd District	2018		\$250
	Ed Cernic Jr.	Controller	Cambria County	2020		\$300
	Bob Casey	State Senate	Pennsylvania	2018		\$350
	Jim Rigby	State Representative	Pennsylvania	2020		\$500
	Frank Burns	State Representative	Pennsylvania	2020		\$500
	Ed Cernic, Jr.	County Controller	Cambria County	2019		\$500
	Wayne Langerholc	State Senate	Pennsylvania	2019		\$500
	Kelly Callihan	District Attorney	Cambria County	2019		\$500
	Frank Burns	State Representative	Pennsylvania	2019		\$500

	Candidate/Office Holder	Office	Municipality	Contribution	Amount
Director 4 (cont'd)	Jim Rigby	State Representative	Pennsylvania	2019	\$500
	Chernisky/Smith	County Commissioners	Cambria County	2019	\$500
	Wayne Langerholc	State Senate	35th District	2017	\$500
	Shapiro	Attorney General	Pennsylvania	2017	\$500
	Bryan Barbin	Commonwealth Judge	Pennsylvania	2017	\$500
	Wayne Langerholc	State Senate	35th District	2018	\$500
	Tom Wolf	Governor	Pennsylvania	2017	\$535
	Tom Wolf	Governor	Pennsylvania	2018	\$595
	Chernisky/Smith	County Commissioners	Cambria County	2018	\$603
	Tom Wolf	Governor	Pennsylvania	2017	\$825
	Chernisky/Smith	County Commissioners	Cambria County	2018	\$884
	Chernisky/Smith	County Commissioners	Cambria County	2019	\$890
	Chernisky/Smith	County Commissioners	Cambria County	2019	\$988
	Bryan Barbin	State Representative	71st District	2018	\$969
	Democratic Party			2020	\$1,000
	Democratic Party	PA Democratic Party	Pennsylvania	2019	\$1,000
	Scott Hunt	County Commissioner	Pennsylvania	2019	\$1,000
	Tom Wolf	Governor	Pennsylvania	2018	\$1,000
	Bob Casey	State Senate	Pennsylvania	2018	\$1,000
	Chernisky/Smith	County Commissioners	Cambria County	2019	\$1,032
	Chernisky/Smith	County Commissioner	Cambria County	2018	\$1,032
	Chernisky/Smith	County Commissioners	Cambria County	2017	\$1,140
	Scott Hunt	County Commissioner	Cambria County	2019	\$1,131
	Bryan Barbin	State Representative	71st District	2017	\$1,250
	Mayor Janakovic & City Council Members	Johnstown	Cambria County	2017	\$1,500
	Chernisky/Smith	County Commissioners	Cambria County	2017	\$1,597
	Tom Wolf	Governor	Pennsylvania	2018	\$1,662
	Mike Turzai	House of Representatives	Pennsylvania	2019	\$2,000
	Chernisky/Smith	County Commissioners	Pennsylvania	2019	\$2,000
	Tom Wolf	Governor	Pennsylvania	2018	\$2,000
	Mike Turzai	State Representative	Pennsylvania	2018	\$2,000
	Scott Hunt	County Commissioner	Cambria County	2019	\$2,250
	Chernisky/Smith	County Commissioners	Cambria County	2019	\$2,500
	Tom Wolf	Governor	Pennsylvania	2018	\$2,500

	Candidate/Office Holder	Office	Municipality	Contribution	Amount
Director 4 (cont'd)	Ed Cernic, Jr.	State Senate	Pennsylvania	2016	\$2,629
	Chernisky/Smith	County Commissioner	Cambria County	2019	\$3,000
	Tom Wolf	Governor	Pennsylvania	2018	\$5,000
Director 5	Keith Rothfus	Congressman	12th District	2018	\$100
	Jim Rigby	State Representative	Upper Yoder	2019	\$200
	Kelly Callihan	District Attorney	Cambria County	2019	\$200
	Scott Hunt	County Commissioner	Cambria County	2019	\$200
	Frank Burns	State Representative	72nd District	2016	\$250
	Wayne Langerholc	State Senate	35th District	2017	\$300
	Frank Burns	State Representative	72nd District	2017	\$300
	Wayne Langerholc	State Senate	Pennsylvania	2020	\$500
	Wayne Langerholc	State Senate	Pennsylvania	2019	\$500
	Tom Wolf	Governor	Pennsylvania	2018	\$500
	Wayne Langerholc	State Senate	35th District	2018	\$500
	Bryan Barbin	State Representative	71st District	2018	\$500
	Ed Cernic, Jr.	State Senate	Pennsylvania	2016	\$500
	Bryan Barbin	State Representative	71st District	2016	\$500
	Frank Burns	State Representative	72nd District	2020	\$1,000
	John Joyce	Congressman	13th District	2019	\$1,000
	Frank Burns	State Representative	72nd District	2019	\$1,000
	Frank Burns	State Representative	72nd District	2018	\$1,000
	Bob Casey	State Senate	Pennsylvania	2018	\$1,000
OFFICERS:					
Officer 1	Keith Rothfus	House of Representatives	12th District	2017	\$250.00
PENTRUST:					
Director 1	Tom Wolf	Governor	Pennsylvania	2018	\$150
	Stephen Zappala	Attorney General	Pennsylvania	2016	\$250
	William Peduto	Mayor	City of Pittsburgh	2017	\$250
	Corey O'Connor	City Council	Pittsburgh	2017	\$50

	Candidate/Office Holder	Office	Municipality	Contribution	Amount
Director 2	Dan Gilman	City Council	City of Pittsburgh	2017	\$250
Director 3	Stephen Zappala	Attorney General	Pennsylvania	2016	\$100
	Tom Wolf	Governor	Pennsylvania	2018	\$350